



SOP: Site Pre-Review for External IRB

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1 PURPOSE

- 1.1 The purpose of this process is to determine whether a local UM site can rely on an external IRB as the IRB of record.
- 1.2 This process begins when a site submits FORM: External IRB Reliance Application (HRP-216) materials for pre-review.
- 1.3 This process ends when the HSRO sends an acknowledgement to the site allowing the study to start at the UM.

2 GUIDING PRINCIPLES

- 2.1 When a UM investigator relies on an external IRB as the IRB of record, the HSRO must ensure that all regulatory and local requirements are met before the site can start the study.

3 REVISIONS FROM PREVIOUS VERSION

- 3.1 None.
- 3.2 Removed requirement for FORM: External IRB Reliance Application (HRP 216)

4 POLICY

- 4.1 Before a UM investigator relying on an external IRB as the IRB of record can start a study, the following requirements must be met:
 - 4.1.1 An executed authorization agreement covering the proposed research must be in place
 - 4.1.2 The HSRO must review the study materials to ensure that all local requirements are met
 - 4.1.3 Study personnel must comply with training and financial reporting requirements
 - 4.1.4 Documentation for all required ancillary reviews must be submitted and the following ancillary reviews must be completed, when applicable:
 - 4.1.4.1 Cancer Protocol Review Committee
 - 4.1.4.2 Data Security Ancillary Committee
 - 4.1.4.3 Radiation Safety
 - 4.1.4.4 Institutional Biosafety Committee
 - 4.1.4.5 Embryonic Stem Cell Oversight Committee
 - 4.1.4.6 Conflict of Interest Committee Review

5 RESPONSIBILITIES

- 5.1 HSRO staff generally carry out these procedures.

6 PROCEDURE

- 6.1 For studies that are not being review by the NCI CIRB, Review FORM: External IRB Reliance Application (HRP-216) for completeness and use CHECKLIST: External IRB Review (HRP-442) to determine whether the proposed research qualifies for a reliance.
 - 6.1.1 If the study qualifies for a reliance, sign FORM: External IRB Reliance Application (HRP-216)
 - 6.1.2 Advise team of determination and request eProst submission if the proposed study qualifies for a reliance.
- 6.2 Ensure reliance agreement covering the proposed research is in place.
- 6.3 Work with the site to obtain all required documentation, clarifications and revisions.
- 6.4 When the study documents are available, use CHECKLIST: External IRB Review (HRP-442) to conduct an Administrative Review
- 6.5 Record the IRB Decision
- 6.6 Upload completed Checklist HRP-442
- 6.7 Finalize Documents, if applicable
- 6.8 If all requirements are met, send LETTER: Acknowledge External IRB (HRP-857)



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7 MATERIALS

- 7.1 FORM: External IRB Reliance Application (HRP-216)
- 7.2 CHECKLIST: External IRB Review (HRP-442)
- 7.3 WORKSHEET: Pre-Review (HRP-308)
- 7.4 LETTER: Acknowledge External IRB (HRP-857)

8 REFERENCES

- 8.1 None.