



SOP: Annual Evaluations of the HRPP

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1 PURPOSE

- 1.1 This procedure establishes the process to conduct annual evaluations of the human research protection program.
- 1.2 The process begins the first at the end of the fiscal year. .
- 1.3 The process ends when all evaluations have been completed and communicated to those evaluated.

2 REVISIONS FROM PREVIOUS VERSION

- 2.1 None

3 GUIDING PRINCIPLES

- 3.1 The human research protection program is evaluated annually.
- 3.2 The subject outreach program for enhancing the understanding of subjects, prospective subjects, and communities is accomplished by:
 - 3.2.1 Maintaining a webpage for participants on the HSRO website that includes:
 - 3.2.1.1 Written information for potential participants
 - 3.2.1.2 A link to the document HRP-104 - BROCHURE - Should I Take Part in Research; and
 - 3.2.1.3 A link to the OHRP webpage that provides informational videos for potential research subjects;

4 RESPONSIBILITIES

- 4.1 HSRO staff ensure completion of these procedures.

5 PROCEDURE

- 5.1 Have the Institutional Official/ Organizational Official (IO/OO) or designee evaluate the following resources provided to the human research protection program and make adjustments as part of the budgeting process.
 - 5.1.1 Space
 - 5.1.2 HRPP educational program
 - 5.1.3 Legal counsel
 - 5.1.4 Conflicts of interests
 - 5.1.5 Quality improvement plan
- 5.2 Evaluate whether the number of IRBs is appropriate to the volume and types of research reviewed.
 - 5.2.1 Provide a copy of the evaluation to the IO/OO or designee.
 - 5.2.2 If the number of IRBs is not appropriate to the volume and types of research reviewed, work with the IO/OO or designee to modify the IRB structure.
- 5.3 Have the IO/OO or designee evaluate the knowledge, skills, and performance of each IRB chair using HRP-326 - WORKSHEET - Performance Evaluation for IRB Chairs.
 - 5.3.1 Communicate the results of the evaluation to each IRB chair and the IO/OO or designee.
 - 5.3.2 If needed, work with each IRB chair to develop a plan to improve the individual's knowledge, skills, and performance.
- 5.4 Have the IRB chair or IRB manager evaluate the knowledge, skills, and performance of each regular and alternate IRB member using HRP-327 - WORKSHEET - Performance Evaluation for IRB Members.
 - 5.4.1 Have the IRB Chair or IRB Manager utilize HRP-327 - WORKSHEET - Performance Evaluation for IRB Members to complete the evaluation. Communicate the results of the evaluation to each IRB member and the IO/OO or designee.



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- 5.4.2 Send a copy of HRP-562 - LETTER - IRB Appreciation, or equivalent, to the IRB member's supervisor.
- 5.4.3 If needed, work with each IRB member to develop a plan to improve the individual's knowledge, skills, and performance.
- 5.5 Follow the Human Resources annual employee evaluation process to evaluate the knowledge, skills, and performance of IRB staff. Use HRP-328 - WORKSHEET - Performance Evaluation for IRB Staff as part of the evaluation.
 - 5.5.1 Document the results of this evaluation as part of the annual employee evaluation process.
 - 5.5.2 Provide a copy of the evaluation to the IO/OO or designee through the University's electronic system.
 - 5.5.3 Provide each HSRO staff with a copy of his or her evaluation.
 - 5.5.4 If needed, work with each HSRO staff person to develop a plan to improve the individual's knowledge, skills, and performance.
- 5.6 Use HRP-304 - WORKSHEET - IRB Composition to evaluate whether the composition of the IRB meets regulatory and organizational requirements.
 - 5.6.1 Provide a copy of the evaluation to the IO/OO or designee.
 - 5.6.2 If the composition of an IRB does not meet regulatory and organizational requirements, work with the IO/OO or designee to modify the IRB composition.
- 5.7 Evaluate the subject outreach plan.
 - 5.7.1 Consider the following areas when evaluating the outreach plan:
 - 5.7.1.1 Whether the existing scope and content of HRPP outreach materials continue to be adequate;
 - 5.7.1.2 Whether modifications to existing outreach materials are necessary;
 - 5.7.1.3 Whether or not the HRPP's existing materials are being regularly utilized by the HSRO Office or by members of the research community in their own interaction with the communities in which they conduct research;
 - 5.7.1.4 Whether there are new opportunities to provide outreach activities to the community, and;
 - 5.7.1.5 Whether additional information is needed from the research community to assess the extent to which outreach materials are used and outreach activities take place.
 - 5.7.2 Provide a copy of the evaluation to the IO/OO or designee.
 - 5.7.3 If the subject outreach program is not meeting organizational goals, work with the IO/OO or designee to modify the plan. Modifications may include, but are not limited to:
 - 5.7.3.1 Modifying existing outreach materials;
 - 5.7.3.2 Developing new materials;
 - 5.7.3.3 Surveying the research community to identify and participate in additional outreach opportunities, and;
 - 5.7.3.4 Working directly with community organizations to identify and participate in additional outreach opportunities.
- 5.8 Review HRP-080 - SOP - IRB Formation and Registration to determine if IRB registration requires updating.¹
- 5.9 Check when the last time the federalwide assurance (FWA) was updated or renewed. If more than 2 years, update/renew the federalwide assurance (FWA).²

6 MATERIALS

- 6.1 HRP-080 - SOP - IRB Formation and Registration

¹ See <http://www.hhs.gov/ohrp/assurances/>. Use the Web site: <http://ohrp.cit.nih.gov/efile/>.

² See <http://www.hhs.gov/ohrp/assurances/>. Use the Web site: <http://ohrp.cit.nih.gov/efile/>.

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- 6.2 HRP-104 - BROCHURE - Should I Take Part in Research
- 6.3 HRP-304 - WORKSHEET - IRB Composition
- 6.4 HRP-326 - WORKSHEET- Performance Evaluation for IRB Chairs
- 6.5 HRP-327 - WORKSHEET - Performance Evaluation for IRB Members
- 6.6 HRP-328 - WORKSHEET - Performance Evaluation Criteria for IRB Staff
- 6.7 HRP-562 - LETTER - IRB Appreciation

7 REFERENCES

- 7.1 None