



UNIVERSITY OF MIAMI  
MILLER SCHOOL  
of MEDICINE

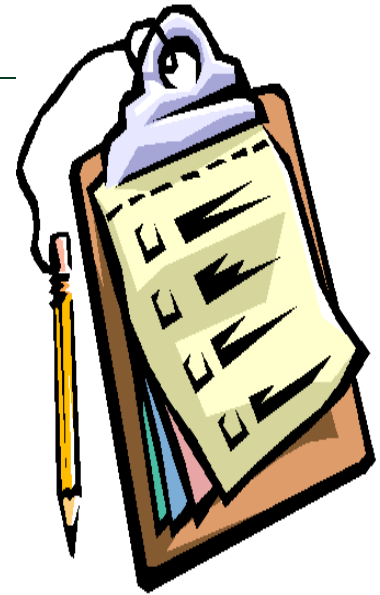
# IRB7 Library and Policies: What You Need to Know

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# Objectives:

- Describe how prior policies were incorporated into the IRB7 Toolkit
- List the types of documents in the IRB7 Toolkit and how they are used
- Identify where to find information and who to contact with questions
- Navigate HSRO website
- Describe the importance of AAHRPP Accreditation



# ~~Toolkit Components – incorporates regulatory requirements, guidance on regulations, State statutes, institutional policies, or best practices~~

- General documents (100s)
- SOPs (0-100)
- Worksheets (300s)
- Checklists (400s)
- Templates (500s)

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# General Documents

- Human Research Protection Program (HRPP) Plan (HRP-101) - general outline of rules and responsibilities
- Flowchart (HRP-102)
- Investigator Manual (HRP-103) – Investigator/study team responsibilities
- Brochure (HRP-104)



# Standard Operating Procedures (SOPs)

Work instructions for how IRB/HSRO functions

- Provides all the information you need to know in regards to IRB operations and workflow
- They are identified as HRP (and a number)
- HRP stands for Human Research Protection

For example:

HRP-090 - SOP - Informed Consent Process

HRP-055 – SOP – Financial COI

# Worksheets

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- Regulatory considerations for IRB review and approval of Human Research - what the IRB is looking for in a study when conducting its review
  - Federal regulations
  - State laws/statutes
  - Institutional policies

## Example:

- HRP-306 - Drugs
- HRP-307 - Devices
- HRP-314 - Criteria for Approval and Additional Considerations

# Checklists

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- Determinations to be made by the IRB as per federal regulations - what you need to know after the IRB review of a study

## Example:

- HRP-402 - Non-Committee Review (designated/expedited review)
- HRP-410 - Waiver or Alteration of Consent Process
- HRP-416 - Research Involving Children

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# Templates

- Protocol (regular and minimal risk)
- Consent form (long and short)
- IRB determination letters



# Research Policies ([Vice Provost for Research](#))

- [Research Policy/Guidelines Handbook](#)
- New PI Training (HSR-P-001)
- External Audits For Research ((HSR-P-002)
- Clinical Research Trial Monitoring (HSR-P-003)
- Clinical Research Participant Enrollment and Tracking Policy (Velos)
- Clinical Trial Disclosure: Protocol Registration (HSR-P-101)
- Electronic Data Quality Policy for Clinical Research (POL-UMIT-EDQ-001-01)
- Conflict of Interest
- Export Compliance Policy (EXPORT-P-002)

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# HSRO Website ([hsro.miami.edu](http://hsro.miami.edu))

- Information for researchers, sponsors, research participants
- Template ICFs, HIPAA forms
- IRB roster information and meeting deadlines
- Guidance documents
- HSRO eNews archive
- Educational activities archive
- Links to other websites (CITI, etc.)
- Contact information for HSRO staff

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# HSRO Contacts

- HSRO Leadership – Dr. Dushyantha Jayaweera
- Regulatory Issues
  - IRB specialists or analysts
  - Regulatory leadership (Amanda Coltes-Rojas, Evelyne Bital)
- Education – Joey Casanova
- General questions – Mireya Diaz DeArce, Kenia Viamonte
- Finance – Jeanette Mestepey



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What you must do...

Familiarize yourself with the Toolkit documents, regulations, UM policies, etc.

# Toolkit and Accreditation



# Why seek accreditation?

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- As an Institution, we are committed to continuous improvement and best practices
- Public trust and confidence
- Pharmaceutical companies and already accredited IRBs will only rely on accredited IRBs



# What is accreditation?

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- Visible indicator that our Human Research Protection Program (HRPP) follows rigorous standards for ethics, quality and protections for human subjects
- The accreditation process is designed to help organizations consistently meet ethical principles and standards for protecting research participants.
- UM must comply with AAHRPP accreditation standards



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## What is AAHRPP?



- Association for the Accreditation of Human Research Protection Programs (AAHRPP)
- An independent, non-profit accrediting body
- Voluntary, peer-driven, educational model
- Although not the sole accrediting body, AAHRPP is the largest and is recognized as such nationally and internationally

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## AAHRPP Accreditation Standards



- Domain I: The Organization
- Domain II: Institutional Review Board or Ethics Committee
- Domain III: Researcher and Research Staff

<http://www.aahrpp.org/apply/process-overview/standards>



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# Accreditation Process

- Conduct self-assessment (where we are vs. where we need to be prior to submittal)- COMPLETED 4th Quarter 2013
- Step 1 Application (Application and supporting materials)- SUBMITTED 1<sup>st</sup> Quarter 2014
- Feedback from AAHRPP on items requiring further development/ Gap analysis- RECEIVED June 20, 2014
- Response/Revisions- SUBMITTED August 4, 2014
- Step 2 Application (active study list, additional materials) **NEED TO SUBMIT TWO WEEKS POST STEP 1 APPROVAL**
- On-site evaluation of HRPP's performance (EXPECTED MARCH 2015)
- Site report provided for HRPP response
- Response to site report submittal
- Council review (EXPECTED JUNE 2015)
- Notification of accreditation status





GET THE 411

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## *Keep up-to-date!*

In addition to the quarterly editions of *HSRO eNews*, keep informed via the HSRO website (<https://hsro.med.miami.edu>)

This page offers recent news, regulatory tips, updates, and serves as the archive for the newsletter and listserv announcements.

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Questions regarding the  
IRB7 Toolkit

Human Subject Research Office  
305-243-3195

